

INFORMATION DISCLOSURE STATEMENT

Applicant : Ogata et al.
App. No : 10/588,866
Filed : August 9, 2006
For : POLYMER COMPOUND,
PHOTORESIST COMPOSITION
INCLUDING THE POLYMER
COMPOUND, AND RESIST
PATTERN FORMATION METHOD
Examiner : Unassigned
Art Unit : Unknown

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 7 references to be considered by the Examiner. Also enclosed are 3 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required. If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 6/28/07

By: Neil S. Bartfeld
Neil S. Bartfeld, Ph.D.
Registration No. 39,901
Agent of Record
Customer No. 20,995
(619) 235-8550

INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Application No.	10/588,866
		Filing Date	August 09, 2006
		First Named Inventor	Ogata et al.
		Art Unit	Unassigned
(Multiple sheets used when necessary)		Examiner	Unknown
SHEET 1 OF 1		Attorney Docket No.	SHIGA7.053APC

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No.	Document Number Number + Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
/ACW/	1	20030104312	06-05-2003	Miya et al.	
/ACW/	2	20060210913	09-21-2006	Ogata et al.	
/ACW/	3	6,596,458	07-22-2003	Sato et al.	
/ACW/	4	20060210913	09-21-2006	Ogata et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹
/ACW/	5	JP 2003322970	11-14-2003	Tokyo Ohka Kogyo Co., LTD		✓
/ACW/	6	JP 2003295443	10-15-2003	Sumitomo Chem. Co., LTD		✓

NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ¹
/ACW/	7	Office Action issued on May 29, 2007, in connection with Korean Patent Application No. 10-2006-7017557.				

3936935
062707

Examiner Signature	/Amanda C. Walke/	Date Considered	09/14/2008
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

T¹ - Place a check mark in this area when an English language Translation is attached.